## NONPROVISIONAL PATENT **APPLICATION TRANSMITTAL RULE §1.53(b)** IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

101173-00012

October 1, 2001

Docket No.

Date:

Customer No. 004372

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC

1050 Connecticut Avenue, N.W.,

Suite 400

Washington, DC 20036-5339 Telephone: (202) 857-6000 Facsimile: (202) 638-4810

Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a nonprovisional patent application:

For (Title):

ELECTRONIC COMMERCIAL TRANSACTION SYSTEM, AND SERVER,

USER TERMINAL AND RECORD MEDIUM FOR THE SYSTEM, AND

STOCK MANAGEMENT METHOD

By (Inventors):

U.S.C. §119.

Takashi FUKUDA (Tokyo, Japan); Hiroyuki FUJIWARA (Tokyo, Japan)

| X                      | 34 pages of Specification/Claims 1-23/Abstract are attached.                                    |
|------------------------|---|
| $\overline{A}$         | Formal drawings (Figs). <u>1-9</u> ; <u>9</u> sheets are attached.                              |
| $\overline{\boxtimes}$ | A Declaration and Power of Attorney is attached.  |
|                        | An assignment of the invention to Honda Giken Kogyo Kabushiki Kaisha is attached, along with    |
|                        | Form PTO-1595 and a check for \$40.00.  |
| П                      | An Information Disclosure Statement is attached, along with Form PTO-1449, and                  |
|                        | reference(s).   |
| П                      | This application is entitled to Small Entity Status.  |
| Ħ                      | A Preliminary Amendment is attached.  |
|                        | Priority of foreign application No. 2000-362610, 2000-384993 and 2000-400919 filed November 29. |
| E3                     | 2000, December 19, 2000 and December 28, 2000 (respectively) in Japan is claimed under 35       |

A certified copy of the above corresponding foreign applications is attached.  $\square$ 

The filing fee is calculated below and includes claim status after entry of any Preliminary Amendment noted above:

| FOR:                      | NO. FILED | NO. EXTRA |  |  |
|---------------------------|-----------|-----------|--|--|
| BASIC FEE                 |           |           |  |  |
| TOTAL CLAIMS              | 27 - 20   | = 7       |  |  |
| INDEP CLAIMS              | 11 - 3    | = 8       |  |  |
| MULTIPLE DEPENDENT CLAIMS |           |           |  |  |

|   | SMALL ENTITY |        |           |  |  |  |
|---|--------------|--------|-----------|--|--|--|
|   | RATE         | FEE    | <u>OR</u> |  |  |  |
|   |              | \$ 370 | <u>OR</u> |  |  |  |
|   | x 9 =        | \$     | <u>OR</u> |  |  |  |
|   | x 42 =       | \$     | <u>OR</u> |  |  |  |
|   | +140 =       | \$     | <u>OR</u> |  |  |  |
| _ | TOTAL        | \$     | <u>OR</u> |  |  |  |

| LARGE ENTITY |         |  |  |  |
|--------------|---------|--|--|--|
| RATE         | FEE     |  |  |  |
|              | \$ 740  |  |  |  |
| x 18         | \$ 126  |  |  |  |
| x 84         | \$ 672  |  |  |  |
| +280         | \$ 280  |  |  |  |
| TOTAL        | \$ 1818 |  |  |  |
|              |         |  |  |  |

A check in the amount of 1.858.00 [(1,818.00 for the filing fee and 40.00 for the Assignment  $\boxtimes$ Recordation Fee)] is attached. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300. Respectfully submitted.

Charles M. Marmelstein

Registration No. 25,895

CMM/aam